

# Epimorphisms between knot groups: determination of the partial order

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## Twisted Alexander polynomial

$G$  : a finitely presentable group

$\alpha : G \rightarrow \mathbb{Z}^l$  : a surjective homomorphism of  $G$

$\rho : G \rightarrow GL(n; R)$  : a representation of  $G$        $R$  : UFD

$\implies \Delta_{G,\rho} = \frac{\Delta_{G,\rho}^N}{\Delta_{G,\rho}^D}$  : twisted Alexander polynomial

## Theorem. (Kitano-S.-Wada)

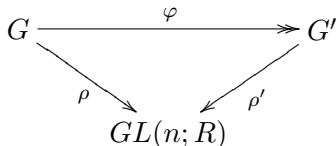
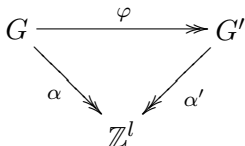
$G, G'$  : finitely presentable groups

$\alpha : G \twoheadrightarrow \mathbb{Z}^l$ ,  $\alpha' : G' \twoheadrightarrow \mathbb{Z}^l$  : surjective homomorphisms

$\exists \varphi : G \twoheadrightarrow G'$  s.t.  $\alpha = \alpha' \circ \varphi$ ,

$\implies \Delta_{G,\rho}^N$  can be divided by  $\Delta_{G',\rho'}^N$  and  $\Delta_{G,\rho}^D = \Delta_{G',\rho'}^D$

for any representation  $\rho' : G' \rightarrow GL(n; \mathbb{R})$ , where  $\rho = \rho' \circ \varphi$ .



## Example

$$G = \langle x_1, \dots, x_u \mid r_1, \dots, r_v \rangle, \quad \alpha : G \twoheadrightarrow \mathbb{Z}^l, \quad \rho : G \rightarrow GL(n, R)$$

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$$G' = \langle x_1, \dots, x_u \mid r_1, \dots, r_v, s \rangle$$

$\pi : G \twoheadrightarrow G'$  : the projection

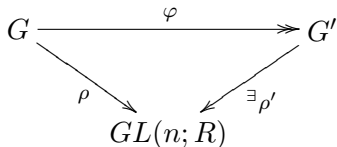
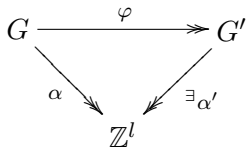
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$G = \langle x_1, \dots, x_u \mid r_1, \dots, r_v \rangle$ ,  $\alpha : G \twoheadrightarrow \mathbb{Z}^l$ ,  $\rho : G \twoheadrightarrow GL(n, R)$

$G' = \langle x_1, \dots, x_u \mid r_1, \dots, r_v, s \rangle$

$\pi : G \twoheadrightarrow G'$  : the projection

Suppose that  $s \in \ker \alpha$ ,  $s \in \ker \rho$



$\implies \Delta_{G, \rho}^N$  can be divided by  $\Delta_{G', \rho'}^N$  and  $\Delta_{G, \rho}^D = \Delta_{G', \rho'}^D$

## Twisted Alexander polynomial

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## Twisted Alexander polynomial for knots

$G(K)$  : the knot group of a knot  $K$

$\alpha : G(K) \twoheadrightarrow \mathbb{Z}$  : the abelianization

$\rho : G(K) \longrightarrow SL(2; \mathbb{Z}/p\mathbb{Z})$  : a representation       $p$  : prime

$\implies \Delta_{K,\rho}$  : twisted Alexander polynomial for the knot  $K$



$K$  : a knot,  $\rho : G(K) \longrightarrow SL(2; \mathbb{Z}/p\mathbb{Z})$   
 $\Delta_{K,\rho}$  : the twisted Alexander polynomial of  $K$   
 $\Delta_{K,\rho}^N$  : the numerator of  $\Delta_{K,\rho}$   
 $\Delta_{K,\rho}^D$  : the denominator of  $\Delta_{K,\rho}$

### Corollary.

If there exists a representation  $\rho' : G(K') \rightarrow SL(2; \mathbb{Z}/p\mathbb{Z})$  such that for any representation  $\rho : G(K) \rightarrow SL(2; \mathbb{Z}/p\mathbb{Z})$ ,

$\Delta_{K,\rho}^N$  can not be divided by  $\Delta_{K',\rho'}^N$  or  $\Delta_{K,\rho}^D \neq \Delta_{K',\rho'}^D$ ,

$\implies$  there exists no epimorphism  $G(K) \twoheadrightarrow G(K')$ .

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$K$  : a knot,  $\Delta_K$  : the Alexander polynomial of  $K$

### Fact.

$K, K'$  : two knots

If  $\Delta_K$  can not be divided by  $\Delta_{K'}$ ,

$\implies$  there exists no epimorphism  $G(K) \twoheadrightarrow G(K')$ .

$K$  : a *prime* knot in  $S^3$

$G(K)$  : the knot group of  $K$  i.e.  $G(K) = \pi_1(S^3 - K)$

Definition.

$$K \geq K' \iff \exists \varphi : G(K) \twoheadrightarrow G(K')$$

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Fact.

The relation " $\geq$ " is a partial order on the set of prime knots.

- $K \geq K$
- $K \geq K', K' \geq K \implies K = K'$
- $K \geq K', K' \geq K'' \implies K \geq K''$

## Theorem (Horie-Kitano-Matsumoto-S.)

The partial order “ $\geq$ ” on the set of prime knots with up to 11 crossings is given by

$8_5, 8_{10}, 8_{15}, 8_{18}, 8_{19}, 8_{20}, 8_{21}, 9_1, 9_6, 9_{16}, 9_{23}, 9_{24}, 9_{28}, 9_{40},$   
 $10_5, 10_9, 10_{32}, 10_{40}, 10_{61}, 10_{62}, 10_{63}, 10_{64}, 10_{65}, 10_{66}, 10_{76},$   
 $10_{77}, 10_{78}, 10_{82}, 10_{84}, 10_{85}, 10_{87}, 10_{98}, 10_{99}, 10_{103}, 10_{106},$   
 $10_{112}, 10_{114}, 10_{139}, 10_{140}, 10_{141}, 10_{142}, 10_{143}, 10_{144},$   
 $10_{159}, 10_{164},$   
 $11a_{43}, 11a_{44}, 11a_{46}, 11a_{47}, 11a_{57}, 11a_{58}, 11a_{71}, 11a_{72}, 11a_{73},$   
 $11a_{100}, 11a_{106}, 11a_{107}, 11a_{108}, 11a_{109}, 11a_{117}, 11a_{134}, 11a_{139},$   
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 $11n_{74}, 11n_{75}, 11n_{76}, 11n_{77}, 11n_{78}, 11n_{81}, 11n_{85}, 11n_{86}, 11n_{87},$   
 $11n_{94}, 11n_{104}, 11n_{105}, 11n_{106}, 11n_{107}, 11n_{136}, 11n_{164}, 11n_{183},$   
 $11n_{184}, 11n_{185}$

}  $\geq 3_1$

$$\left. \begin{array}{l} 8_{18}, 9_{37}, 9_{40}, \\ 10_{58}, 10_{59}, 10_{60}, 10_{122}, 10_{136}, 10_{137}, 10_{138}, \\ 11a_5, 11a_6, 11a_{51}, 11a_{132}, 11a_{239}, 11a_{297}, 11a_{348}, 11a_{349}, \\ 11n_{100}, 11n_{148}, 11n_{157}, 11n_{165} \end{array} \right\} \geq 4_1$$

$$11n_{78}, 11n_{148} \geq 5_1$$

$$10_{74}, 10_{120}, 10_{122}, 11n_{71}, 11n_{185} \geq 5_2$$

$$11a_{352} \geq 6_1$$

$$11a_{351} \geq 6_2$$

$$11a_{47}, 11a_{239} \geq 6_3$$

## To determine the partial order on the set of prime knots

For each pair of two prime knots  $K, K'$ ,  
determine whether there exists an epimorphism

$$\varphi : G(K) \twoheadrightarrow G(K')$$

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The number of prime knots with up to 11 crossings is **801**.  
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The number of prime knots with up to 12 crossings is **2,977**.

Then the number of cases to consider is  ${}_{2977}P_2 = \mathbf{4,429,776}$ .

To prove the **existence** of an epimorphism

Constructing an epimorphism explicitly

## To prove the existence of an epimorphism

### Constructing an epimorphism explicitly

Example.  $8_{18} \geq 3_1$  ? i.e.  $\exists \varphi : G(8_{18}) \twoheadrightarrow G(3_1)$



$$G(8_{18}) = \left\langle \begin{array}{l|l} x_1, x_2, x_3, & x_4 x_1 \bar{x}_4 \bar{x}_2, x_5 x_3 \bar{x}_5 \bar{x}_2, x_6 x_3 \bar{x}_6 \bar{x}_4, \\ x_4, x_5, x_6, & x_7 x_5 \bar{x}_7 \bar{x}_4, x_8 x_5 \bar{x}_8 \bar{x}_6, x_1 x_7 \bar{x}_1 \bar{x}_6, \\ x_7, x_8 & x_2 x_7 \bar{x}_2 \bar{x}_8 \end{array} \right\rangle$$

$$G(3_1) = \langle y_1, y_2, y_3 \mid y_3 y_1 \bar{y}_3 \bar{y}_2, y_1 y_2 \bar{y}_1 \bar{y}_3 \rangle$$

# To prove the existence of an epimorphism

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**Example.**  $8_{18} \geq 3_1$  ? i.e.  $\exists \varphi : G(8_{18}) \twoheadrightarrow G(3_1)$



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$$G(3_1) = \langle y_1, y_2, y_3 \mid y_3 y_1 \bar{y}_3 \bar{y}_2, y_1 y_2 \bar{y}_1 \bar{y}_3 \rangle$$

$$\begin{aligned} \varphi(x_1) &= y_1, & \varphi(x_2) &= y_2, & \varphi(x_3) &= y_1, & \varphi(x_4) &= y_3, \\ \varphi(x_5) &= y_3, & \varphi(x_6) &= y_1 y_3 \bar{y}_1, & \varphi(x_7) &= y_3, & \varphi(x_8) &= y_1 \end{aligned}$$

$$8_{18} \geq 3_1$$

To prove the **non-existence** of any epimorphism

(1) By the (classical) Alexander polynomial

$K$  : a knot

$\Delta_K$  : the Alexander polynomial of  $K$

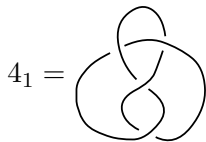
**Fact.**

$K, K'$  : two knots

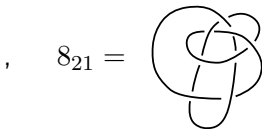
If  $\Delta_K$  can not be divided by  $\Delta_{K'}$ ,

$\implies$  there exists no epimorphism  $G(K) \twoheadrightarrow G(K')$ .

**Example.**  $4_1 \geq 8_{21}$  ? i.e.  $\exists \varphi : G(4_1) \rightarrow G(8_{21})$

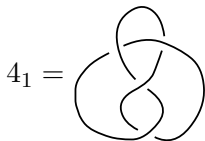


$$\Delta_{4_1} = t^2 - 3t + 1,$$

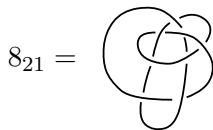


$$\Delta_{8_{21}} = t^4 - 4t^3 + 5t^2 - 4t + 1$$

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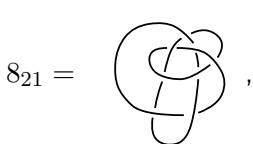
$$\Delta_{8_{21}} = t^4 - 4t^3 + 5t^2 - 4t + 1$$

$$\frac{\Delta_{4_1}}{\Delta_{8_{21}}} = \frac{t^2 - 3t + 1}{t^4 - 4t^3 + 5t^2 - 4t + 1}$$

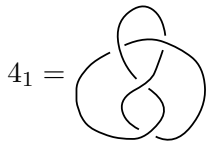
$\Delta_{8_{21}}$  can not divide  $\Delta_{4_1}$

$$4_1 \not\geq 8_{21}$$

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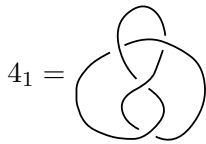
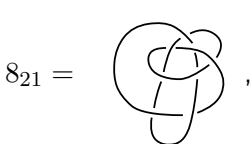
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$\Delta_{4_1}$  can divide  $\Delta_{8_{21}}$ !

We cannot determine the existence of an epimorphism from  $G(8_{21})$  onto  $G(4_1)$  by the Alexander polynomial.

To prove the non-existence of any epimorphism

- (1) By the (classical) Alexander polynomial
- (2) By the twisted Alexander polynomial

$\Delta_{K,\rho}$  : the twisted Alexander polynomial of  $K$

$\Delta_{K,\rho}^N, \Delta_{K,\rho}^D$  : the numerator and denominator of  $\Delta_{K,\rho}$

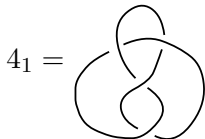
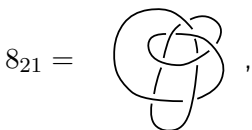
Theorem. (Kitano-S.-Wada)

If there exists a representation  $\rho' : G(K') \rightarrow SL(2; \mathbb{Z}/p\mathbb{Z})$  such that for any representation  $\rho : G(K) \rightarrow SL(2; \mathbb{Z}/p\mathbb{Z})$ ,

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For a certain representation  $\rho' : G(4_1) \longrightarrow SL(2; \mathbb{Z}/3\mathbb{Z})$ ,

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Table of the twisted Alexander polynomials of  $G(8_{21})$   
for all representations  $\rho : G(8_{21}) \longrightarrow SL(2; \mathbb{Z}/3\mathbb{Z})$

	$\Delta_{8_{21}, \rho_i}^N$	$\Delta_{8_{21}, \rho_i}^D$
$\rho_1$	$t^8 + t^4 + 1$	$t^2 + 1$
$\rho_2$	$t^8 + t^7 + 2t^6 + 2t^4 + 2t^2 + t + 1$	$t^2 + t + 1$
$\rho_3$	$t^8 + t^7 + 2t^6 + 2t^4 + 2t^2 + t + 1$	$t^2 + 2t + 1$
$\rho_4$	$t^8 + 2t^7 + 2t^6 + 2t^4 + 2t^2 + 2t + 1$	$t^2 + t + 1$
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$$8_{21} \not\cong 4_1$$

## To determine the partial order on the set of prime knots

For each pair of two prime knots  $K, K'$ ,  
determine whether there exists an epimorphism

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The number of prime knots with up to 11 crossings is **801**.

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**146** cases: existence of an epimorphism

**637, 501** cases : non-existence by the Alexander polynomial

**3, 153** cases : non-existence by the twisted Alexander poly.

## Theorem (Horie-Kitano-Matsumoto-S.)

The partial order “ $\geq$ ” on the set of prime knots with up to 11 crossings is given by

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## Definition

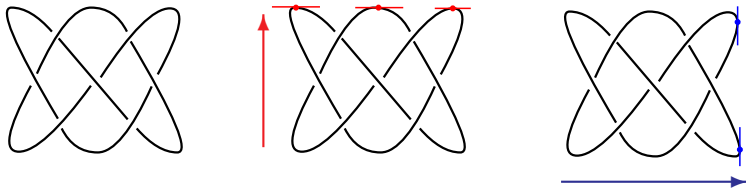
$K$  : a knot

$D$  : a regular diagram of  $K$

$\vec{v}(D)$  : the minimal number of local maximal points of  $D$

$$\text{br}(K) = \min_D \vec{v}(D)$$

**Example.** 2-bridge knot  $7_4$



**Example.** 2-bridge knot  $7_4$

$$[3, 1, 3] = \frac{1}{3 + \frac{1}{1 + \frac{1}{3}}} = \frac{4}{15}$$

$$[a_1, a_2, \dots, a_{2k+1}] = \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{\dots + \frac{1}{a_{2k+1}}}}} = r \in \mathbb{Q}$$

$$\text{2-bridge knot} \implies \frac{q}{p} \in \mathbb{Q}$$

$$\frac{q}{p} \in \mathbb{Q} \iff \text{2-bridge knot } K(q/p)$$

### Theorem (Schubert)

2-bridge knots  $K(q/p)$  and  $K(q'/p')$  are equivalent, if and only if the following conditions hold.

- (1)  $p = p'$ .
- (2) Either  $q \equiv q' \pmod{p}$  or  $qq' \equiv \pm 1 \pmod{p}$ .

## Theorem (Kitano-S.)

The partial order " $\geq$ " on the set of 2-bridge knots with up to 12 crossings is given by

$$\left. \begin{array}{l} 9_1, 9_6, 9_{23}, \\ 10_5, 10_9, 10_{32}, 10_{40}, \\ 11a117, 11a175, 11a176, 11a203, \\ 11a236, 11a306, 11a355 \\ 12a302, 12a528, 12a579, 12a580, \\ 12a718, 12a736, 12a1136, 12a1276 \end{array} \right\} \geq 3_1,$$

$$12a259, 12a471, 12a506 \geq 4_1$$

## Remark

There exist 361 2-bridge knots with up to 12 crossings.

For  $r = [m_1, m_2, \dots, m_k] \in \mathbb{Q}$  and  $\epsilon \in \{+, -\}$ , put

$$\begin{aligned} a &= (m_1, m_2, \dots, m_k), & \epsilon a &= (\epsilon m_1, \epsilon m_2, \dots, \epsilon m_k) \\ a^{-1} &= (m_k, m_{k-1}, \dots, m_1), & \epsilon a^{-1} &= (\epsilon m_k, \epsilon m_{k-1}, \dots, \epsilon m_1) \end{aligned}$$

### Theorem (Ohtsuki-Riley-Sakuma)

If a rational number  $\tilde{r}$  has a continued fraction expansion

$$\tilde{r} = 2c + [\epsilon_1 a, 2c_1, \epsilon_2 a^{-1}, 2c_2, \dots, 2c_{n-1}, \epsilon_n a^{(-1)^{n-1}}]$$

where  $\epsilon_i \in \{+, -\}$  and  $c, c_i \in \mathbb{Z}$ , then there exists an (upper-meridian-pair-preserving) epimorphism  $G(K(\tilde{r})) \twoheadrightarrow G(K(r))$ .

**Case 1.** Onto  $3_1 = K(1/3) \quad \frac{1}{3} = [3],$

### Theorem (Ohtsuki-Riley-Sakuma)

If a 2-bridge knot  $K(\tilde{r})$  admits a continued fraction expansion

$$\tilde{r} = [\pm 3, 2a_1, \pm 3, 2a_2, \pm 3, \dots, \pm 3, 2a_n, \pm 3], \quad a_i \in \mathbb{Z},$$

then there exists an epimorphism  $G(K(\tilde{r})) \twoheadrightarrow G(3_1).$

**Case 1.** Onto  $3_1 = K(1/3) \quad \frac{1}{3} = [3],$

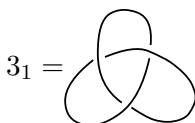
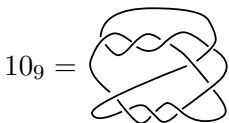
**Theorem (Ohtsuki-Riley-Sakuma)**

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then there exists an epimorphism  $G(K(\tilde{r})) \twoheadrightarrow G(3_1).$

**Example.**  $10_9 = K(7/39),$



$$\frac{7}{39} = [3, 0, 3, -2, -3] \implies G(10_9) \twoheadrightarrow G(3_1)$$



**Case 2.** Onto  $4_1 = K(2/5) \quad \frac{2}{5} = [2, 2],$

### Theorem (Ohtsuki-Riley-Sakuma)

If a 2-bridge knot  $K(\tilde{r})$  admits a continued fraction expansion

$$\tilde{r} = [\pm 2, \pm 2, 2a_1, \pm 2, \pm 2, 2a_2, \dots, \pm 2, \pm 2, 2a_n, \pm 2, \pm 2],$$

then there exists an epimorphism  $G(K(\tilde{r})) \twoheadrightarrow G(4_1).$

**Case 2.** Onto  $4_1 = K(2/5)$   $\frac{2}{5} = [2, 2],$

**Theorem (Ohtsuki-Riley-Sakuma)**

If a 2-bridge knot  $K(\tilde{r})$  admits a continued fraction expansion

$$\tilde{r} = [\pm 2, \pm 2, 2a_1, \pm 2, \pm 2, 2a_2, \dots, \pm 2, \pm 2, 2a_n, \pm 2, \pm 2],$$

then there exists an epimorphism  $G(K(\tilde{r})) \twoheadrightarrow G(4_1).$

**Example.**  $12a259 = K(52/115),$



$$\frac{52}{115} = [2, 2, 0, 2, 2, -2, 2, 2] \implies G(12a259) \twoheadrightarrow G(4_1)$$

## Theorem (Ohtsuki-Riley-Sakuma)

If a rational number  $\tilde{r}$  has a continued fraction expansion

$$\tilde{r} = 2c + [\epsilon_1 a, 2c_1, \epsilon_2 a^{-1}, 2c_2, \dots, 2c_{n-1}, \epsilon_n a^{(-1)^{n-1}}]$$

where  $\epsilon_i \in \{+, -\}$  and  $c, c_i \in \mathbb{Z}$ , then there exists an (upper-meridian-pair-preserving) epimorphism  $G(K(\tilde{r})) \rightarrow G(K(r))$ .

## Problem

Is every pair of 2-bridge knots  $(K(\tilde{r}), K(r))$  with  $G(K(\tilde{r})) \twoheadrightarrow G(K(r))$  given by the Ohtsuki-Riley-Sakuma construction?

## Theorem (Ohtsuki-Riley-Sakuma)

If a rational number  $\tilde{r}$  has a continued fraction expansion

$$\tilde{r} = 2c + [\epsilon_1 a, 2c_1, \epsilon_2 a^{-1}, 2c_2, \dots, 2c_{n-1}, \epsilon_n a^{(-1)^{n-1}}]$$

where  $\epsilon_i \in \{+, -\}$  and  $c, c_i \in \mathbb{Z}$ , then there exists an (upper-meridian-pair-preserving) epimorphism  $G(K(\tilde{r})) \twoheadrightarrow G(K(r))$ .

## Theorem (Lee-Sakuma)

If there exists an upper-meridian-pair-preserving epimorphism  $G(K(\tilde{r})) \twoheadrightarrow G(K(r))$ , then a rational number  $\tilde{r}$  has a continued fraction expansion

$$\tilde{r} = 2c + [\epsilon_1 a, 2c_1, \epsilon_2 a^{-1}, 2c_2, \dots, 2c_{n-1}, \epsilon_n a^{(-1)^{n-1}}]$$

## Theorem (Lee-Sakuma)

If there exists an upper-meridian-pair-preserving epimorphism  $G(K(\tilde{r})) \twoheadrightarrow G(K(r))$ , then a rational number  $\tilde{r}$  has a continued fraction expansion

$$\tilde{r} = 2c + [\epsilon_1 a, 2c_1, \epsilon_2 a^{-1}, 2c_2, \dots, 2c_{n-1}, \epsilon_n a^{(-1)^{n-1}}]$$

## Problem

How about non-upper-meridian-pair-preserving epimorphism?

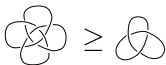
## Problem

Does there exist a 2-bridge knot which surjects onto  $G(3_1)$  and onto  $G(4_1)$ ?

## Problem

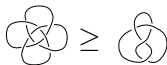
Does there exist a 2-bridge knot which surjects onto  $G(3_1)$  and onto  $G(4_1)$ ?

c.f. 3-bridge knot  $8_{18}$



$$8_{18} \geq 3_1,$$

,

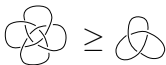


$$8_{18} \geq 4_1$$

## Problem

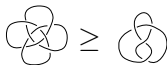
Does there exist a 2-bridge knot which surjects onto  $G(3_1)$  and onto  $G(4_1)$ ?

**c.f.** 3-bridge knot  $8_{18}$



$$8_{18} \geq 3_1,$$

,



$$8_{18} \geq 4_1$$

**c.f.** 2-bridge link  $\frac{11}{30}$

$$\frac{11}{30} = [3, -4, 3] = [2, 2, -2, 2, 2]$$



## Problem

Does there exist a 2-bridge knot which surjects onto  $G(3_1)$  and onto  $G(4_1)$ ?

## Problem

For given two rational numbers  $r, r'$ , determine whether there exists a 2-bridge knot  $K(\tilde{r})$  such that  $K(\tilde{r}) \geq K(r)$  and  $K(\tilde{r}) \geq K(r')$ .

Example.  $r = 1/3, \quad r' = 2/5$

$$\begin{aligned} ?\exists \tilde{r} \in \mathbb{Q} \quad \text{s.t.} \quad & K(\tilde{r}) \geq K(1/3) = 3_1 \\ & K(\tilde{r}) \geq K(2/5) = 4_1 \end{aligned}$$

## Problem

For given two rational numbers  $r, r'$ , determine whether there exist a 2-bridge knot  $K(\tilde{r})$  such that  $K(\tilde{r}) \geq K(r)$  and  $K(\tilde{r}) \geq K(r')$ .

## Theorem. (Hoste-Shanahan)

We can determine it for the *ORS construction*.

### Example.

There does not exist a 2-bridge knot which surjects onto  $G(3_1)$  and onto  $G(4_1)$  with respect to the ORS construction.