

Characteristic classes of **homological** surface bundles and four-dimensional topology

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based on jw/w Takuya SAKASAI and Masaaki SUZUKI

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An “enlargement” $\mathcal{H}_{g,1}$ of the mapping class group (1)

Mapping class groups:

$$\mathcal{M}_g = \pi_0 \text{Diff}^+ \Sigma_g, \quad \mathcal{M}_{g,1} = \pi_0 \text{Diff}(\Sigma_g, D^2)$$

Another description:

$$\mathcal{M}_{g,1} = \{(\Sigma_{g,1} \times I, \varphi); \varphi : \Sigma_{g,1} \overset{\text{rel } \partial}{\cong} \Sigma_{g,1} \times \{1\}\} \\ \text{/isotopy}$$

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Group of homology cobordism classes of homology cylinders:

Garoufalidis-Levine (based on Goussarov and Habiro):

$$\mathcal{H}_{g,1} = \{(\mathbf{homology} \Sigma_{g,1} \times I, \varphi); \varphi : \Sigma_{g,1} \stackrel{\text{rel } \partial}{\cong} \Sigma_{g,1} \times \{1\}\} \\ \text{/homology cobordism}$$

An “enlargement” $\mathcal{H}_{g,1}$ of the mapping class group (2)

two versions:

$$\mathcal{H}_{g,1}^{\text{smooth}} \xrightarrow[\text{surjective}]{\text{big kernel, Freedman}} \mathcal{H}_{g,1}^{\text{top}}$$

enlargements of $\mathcal{M}_{g,1}$

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enlargements of $\mathcal{M}_{g,1}$

$\Theta^3 := \{\text{homology 3-spheres}\} / \text{smooth homology cobordism}$

infinite rank by Furuta, Fintushel-Stern

Define a group $\overline{\mathcal{H}}_{g,1}$ by the following **central** extension

$$0 \rightarrow \Theta^3 = \mathcal{H}_{0,1}^{\text{smooth}} \rightarrow \mathcal{H}_{g,1}^{\text{smooth}} \rightarrow \overline{\mathcal{H}}_{g,1} \rightarrow 1$$

Problem

Study the Euler class

$$\chi(\mathcal{H}_{g,1}^{\text{smooth}}) \in H^2(\overline{\mathcal{H}}_{g,1}; \Theta^3)$$

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One of the foundational results of Freedman:

Theorem (Freedman)

*Any homology 3-sphere bounds a **contractible** topological 4-manifold so that $\Theta_{\text{top}}^3 = 0$*

It follows that $\mathcal{H}_{g,1}^{\text{smooth}} \rightarrow \mathcal{H}_{g,1}^{\text{top}}$ factors through $\overline{\mathcal{H}}_{g,1}$

An “enlargement” $\mathcal{H}_{g,1}$ of the mapping class group (4)

$$\Theta^3 \rightarrow \mathcal{H}_{g,1}^{\text{smooth}} \rightarrow \overline{\mathcal{H}}_{g,1} \xrightarrow{\text{Freedman}} \mathcal{H}_{g,1}^{\text{top}}$$

Problem (about “Picard groups”)

Study the following homomorphisms ($g \geq 3$)

$$H^2(\mathcal{H}_{g,1}^{\text{top}}) \rightarrow H^2(\overline{\mathcal{H}}_{g,1}) \rightarrow H^2(\mathcal{H}_{g,1}^{\text{smooth}}) \rightarrow H^2(\mathcal{M}_{g,1}) \xrightarrow{\text{Harer}} \mathbb{Z}$$

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∞ -rank?

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\cong ?

Theorem (Dehn-Nielsen-Zieschang)

- $\mathcal{M}_g \cong \text{Out}^+ \pi_1 \Sigma_g$ (*outer automorphism group*)
- $\mathcal{M}_{g,1} \cong \{\varphi \in \text{Aut } \pi_1 \Sigma_{g,1}; \varphi(\zeta) = \zeta\}$ ζ : *boundary curve*

“differentiate” \Rightarrow

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Definition (“Lie algebra” of $\mathcal{M}_{g,1}$)

$\mathfrak{h}_{g,1} = \{ \text{symplectic derivation of the free Lie algebra } \mathcal{L}(H_{\mathbb{Q}}) \}$

$$\mathfrak{h}_{g,1} = \bigoplus_{k=0}^{\infty} \mathfrak{h}_{g,1}(k): \text{symplectic derivation Lie algebra of } \mathcal{L}(H_{\mathbb{Q}})$$

very important in low dimensional topology

Mal'cev **nilpotent** completion of $\pi_1 \Sigma_{g,1}$:

$$\cdots \rightarrow N_{d+1} \rightarrow N_d \rightarrow \cdots \rightarrow N_1 = H_{\mathbb{Q}} \rightarrow 0 \quad (H_{\mathbb{Q}} = H_1(\Sigma_{g,1}; \mathbb{Q}))$$

\Rightarrow obtain a series of representations of $\mathcal{M}_{g,1}$:

$$\rho_{\infty} = \{\rho_d\}_d : \mathcal{M}_{g,1} \rightarrow \varprojlim_{d \rightarrow \infty} \text{Aut}_0 N_d \quad (\rho_d : \mathcal{M}_{g,1} \rightarrow \text{Aut}_0 N_d)$$

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associated **embedding of Lie algebras**:

$$\tau : \bigoplus_{d=1}^{\infty} \mathcal{M}_{g,1}(d) / \mathcal{M}_{g,1}(d+1) \quad \text{small} \quad \subset \quad \mathfrak{h}_{g,1}^+ \quad \text{ideal} \quad \subset \quad \mathfrak{h}_{g,1}$$

$$\mathcal{M}_{g,1}(d) := \text{Ker } \rho_d \quad \text{Johnson filtration}$$

Stallings' theorem \Rightarrow

Theorem (Garoufalidis-Levine, Habegger)

There exists a homomorphism

$$\tilde{\rho}_\infty : \mathcal{H}_{g,1}^{\text{top}} \rightarrow \varprojlim_{d \rightarrow \infty} \text{Aut}_0 N_d$$

*which extends ρ_∞ , each finite factor $\tilde{\rho}_d : \mathcal{H}_{g,1}^{\text{top}} \rightarrow \text{Aut}_0 N_d$ is **surjective** over \mathbb{Z} for any $d \geq 1$*

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$$\begin{array}{ccc} \mathcal{M}_{g,1}(d) & \xrightarrow[\text{image small}]{\tau_d} & \mathfrak{h}_{g,1}(d) \\ \cap \downarrow & & \parallel \\ \mathcal{H}_{g,1}^{\text{top}}(d) & \xrightarrow[\text{surjective}]{\tilde{\tau}_d} & \mathfrak{h}_{g,1}(d) \end{array}$$

Representations of $\mathcal{H}_{g,1}$ (4)

$$\begin{array}{ccccc}
 & & \mathcal{M}_{g,1} & \xrightarrow{\rho_\infty} & \varprojlim_{d \rightarrow \infty} \text{Aut}_0 N_d \\
 & & & \text{injective} & \\
 & & \cap \downarrow & & \parallel \\
 \mathcal{H}_{g,1}^{\text{smooth}} & \xrightarrow{\text{surjective}} & \mathcal{H}_{g,1}^{\text{top}} & \xrightarrow{\tilde{\rho}_\infty} & \varprojlim_{d \rightarrow \infty} \text{Aut}_0 N_d
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\Rightarrow obtain

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$\text{Aut}_0 N_d$ is a linear algebraic group and we have

$$\text{Aut}_0 N_d \cong \text{IAut}_0 N_d \rtimes \text{Sp}(2g, \mathbb{Q})$$

$$\text{Lie}(\text{IAut}_0 N_d) \cong \mathfrak{h}_{g,1}^+[d] \quad (\text{truncated})$$

Proposition

$$\lim_{g \rightarrow \infty} \lim_{d \rightarrow \infty} H^*(\text{Aut}_0 N_d) \cong H_c^*(\widehat{\mathfrak{h}}_{\infty,1}^+)^{\text{Sp}} \otimes H^*(\text{Sp}(2\infty, \mathbb{Q}); \mathbb{Q})$$

$$\widehat{\mathfrak{h}}_{\infty,1}^+ : \text{completion of } \mathfrak{h}_{\infty,1}^+ = \lim_{g \rightarrow \infty} \mathfrak{h}_{g,1}^+$$

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$$\tilde{\rho}_{\infty}^* : H_c^*(\widehat{\mathfrak{h}}_{\infty,1}^+)^{\text{Sp}} \otimes H^*(\text{Sp}(2\infty, \mathbb{Q}); \mathbb{Q}) \rightarrow H^*(\mathcal{H}_{g,1}^{\text{top}}; \mathbb{Q})$$

Lie version of Kontsevich graph homology

By using theory of Outer Space due to Culler and Vogtmann:

Theorem (Kontsevich, Lie version)

$$PH_c^k(\widehat{\mathfrak{h}}_{\infty,1}^+)_{2n}^{\text{Sp}} \cong H_{2n-k}(\text{Out } F_{n+1}; \mathbb{Q}) \Rightarrow$$

$$H_c^*(\widehat{\mathfrak{h}}_{\infty,1}^+)_{\text{Sp}} \cong \Lambda \left[\bigoplus_{n \geq 2} H_*(\text{Out } F_n; \mathbb{Q}) \right]$$

Λ : free associative algebra

degree $(x) = 2n - 2 - k$ ($x \in H_k(\text{Out } F_n; \mathbb{Q})$)

Kontsevich's theorem and homology of $\text{Out } F_n$ (2)

$$\bigoplus_{n \geq 2} H_{2n-3}(\text{Out } F_n; \mathbb{Q}) \Leftrightarrow PH_c^1(\widehat{\mathfrak{h}}_{\infty,1}) \stackrel{\text{dual}}{\Leftrightarrow} H_1(\mathfrak{h}_{\infty,1}^+)_{\text{Sp}}$$

Culler-Vogtmann: $\text{vcd}(\text{Out } F_n) = 2n - 3$

Problem

What are the *generators*: $H_1(\mathfrak{h}_{\infty,1}^+)$ for the Lie algebra $\mathfrak{h}_{\infty,1}^+$?

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Problem

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$$\bigoplus_{n \geq 2} H_{2n-4}(\text{Out } F_n; \mathbb{Q}) \Leftrightarrow PH_c^2(\widehat{\mathfrak{h}}_{\infty,1})$$

Problem

What is the *second* cohomology of the Lie algebra $\mathfrak{h}_{\infty,1}$?

Cohomology of $\text{Out } F_n$ and $H_1(\mathfrak{h}_{\infty,1}), H_c^2(\hat{\mathfrak{h}}_{\infty,1})$

Generators for $\mathfrak{h}_{g,1}^+$ ($= H_1(\mathfrak{h}_{g,1}^+)$) :

$$\wedge^3 H_{\mathbb{Q}} = \mathfrak{h}_{g,1}(1) \text{ Johnson}$$

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Theorem (Conant-Kassabov-Vogtmann)

$$H_1(\mathfrak{h}_{g,1}^+) \cong \wedge^3 H_{\mathbb{Q}} \text{ (Johnson, 0-loop)}$$

$$\oplus \left(\bigoplus_{k=1}^{\infty} S^{2k+1} H_{\mathbb{Q}} \right) \text{ (M., trace maps: 1-loop)}$$

$$\oplus \left(\bigoplus_{k=1}^{\infty} [2k+1, 1]_{\text{Sp}} \oplus \text{other part} \right) \text{ (2-loops)}$$

$$\oplus \text{ non-trivial ? (3, 4, \dots-loops) ? : deep question}$$

Theorem (Bartholdi)

$$H_k(\text{Out } F_7; \mathbb{Q}) \cong \begin{cases} \mathbb{Q} & (k = 0, 8, 11) \\ 0 & (\text{otherwise}) \end{cases}$$

$$\stackrel{\text{Kontsevich}}{\Rightarrow} H_c^1(\hat{\mathfrak{h}}_{\infty,1}^+)_{12}^{\text{Sp}} \cong \mathbb{Q}$$

Sakasai-Suzuki-M. have given a direct proof of this fact without using Kontsevich's theorem, and furthermore

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Theorem (Massuyeau-Sakasai)

- (i) $\mathcal{H}_{g,1} \xrightarrow{\text{homo.}} \hat{H}_1(\mathfrak{h}_{g,1}^+) \rtimes \text{Sp}(2g, \mathbb{Z})$ with dense image
- (ii) $H_1(\mathcal{H}_{g,1}; \mathbb{Q}) \neq 0$ (sharp contrast with: \mathcal{M}_g is perfect ($g \geq 3$))

Construction of elements of $H_c^2(\hat{\mathfrak{h}}_{\infty,1})$

$$\text{trace maps : } \mathfrak{h}_{g,1}^+ \rightarrow \bigoplus_{k=1}^{\infty} S^{2k+1} H_{\mathbb{Q}}, \quad H^2(S^{2k+1} H_{\mathbb{Q}})^{\text{Sp}} \cong \mathbb{Q} \Rightarrow$$

$$\mathfrak{t}_{2k+1} \in H_c^2(\hat{\mathfrak{h}}_{\infty,1})_{4k+2} \stackrel{K.}{\cong} H_{4k}(\text{Out } F_{2k+2}; \mathbb{Q})$$

$$\mu_k \in H_{4k}(\text{Out } F_{2k+2}; \mathbb{Q}) \quad (k = 1, 2, \dots) \quad \text{Morita classes}$$

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Theorem (non-triviality of μ_k)

$$\mu_1 \neq 0 \in H_4(\text{Out } F_4; \mathbb{Q}) \quad (M. 1999)$$

$$\mu_2 \neq 0 \in H_8(\text{Out } F_6; \mathbb{Q}) \quad (\text{Conant-Vogtmann 2004})$$

$$\mu_3 \neq 0 \in H_{12}(\text{Out } F_8; \mathbb{Q}) \quad (\text{Gray 2011})$$

Kontsevich's theorem and homology of $\text{Out } F_n$ (6)

$H_*(\text{Out } F_n; \mathbb{Q})$ computed for $n \leq 7$: only **four** non-trivial parts

$$H_4(\text{Out } F_4; \mathbb{Q}) \cong \mathbb{Q} \quad (\text{Hatcher-Vogtmann})$$

$$H_8(\text{Out } F_6; \mathbb{Q}) \cong \mathbb{Q} \quad (\text{Ohashi})$$

$$H_{11}(\text{Out } F_7; \mathbb{Q}) \cong H_8(\text{Out } F_7; \mathbb{Q}) \cong \mathbb{Q} \quad (\text{Bartholdi})$$

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$$H_{11}(\text{Out } F_7; \mathbb{Q}) \cong H_8(\text{Out } F_7; \mathbb{Q}) \cong \mathbb{Q} \quad (\text{Bartholdi})$$

Conjecture (**very difficult and important**)

$$\mu_k \neq 0 \text{ for all } k \quad \left(\Rightarrow H_c^2(\hat{\mathfrak{h}}_{\infty,1}) \supset \mathbb{Q}\langle e_1, \mathbf{t}_3, \mathbf{t}_5, \dots \rangle \right)$$

Theorem (Conant-Hatcher-Kassabov-Vogtmann)

The class μ_k is **supported** on certain subgroup $\mathbb{Z}^{4k} \subset \text{Out } F_{2k+2}$

CKV **new** generators \Rightarrow more classes in $H_c^2(\hat{\mathfrak{h}}_{\infty,1})$

Many **odd** dimensional cohomology classes exist:

Theorem (Sakasai-Suzuki-M.)

The integral Euler characteristics of $\text{Out } F_n$ is given by

$$e(\text{Out } F_n) = 1, 1, 2, 1, 2, \mathbf{1, 1, -21, -124, -1202} \quad (n = 2, 3, \dots, 11)$$

The **unique** explicit one is: $H_{11}(\text{Out } F_7; \mathbb{Q}) \cong \mathbb{Q}$ (Bartholdi)

Problem

*Construct non-trivial **odd** dim. homology classes of $\text{Out } F_n$*

Conjectural **geometric meaning** of the classes

$$\mu_k \in H_{4k}(\text{Out } F_{2k+2}; \mathbb{Q})$$

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secondary classes associated with the **difference** between **two** reasons for the vanishing of Borel **regulator** classes

$$\beta_k \in H^{4k+1}(\text{GL}(N, \mathbb{Z}); \mathbb{R})$$

(1) $\beta_k = 0 \in H^{4k+1}(\text{Out } F_N; \mathbb{R})$ (Igusa, Galatius)

(2) $\beta_k = 0 \in H^{4k+1}(\text{GL}(N_k^*, \mathbb{Z}); \mathbb{R})$ **critical** $N_k^* \stackrel{?}{=} 2k + 2$, **yes** for $k = 1$ (Lee-Szczarba) and $k = 2$ (E.Vincent-Gangl-Soulé)

Theorem (Bismut-Lott, Lee, Franke)

$$\beta_k = 0 \in H^{4k+1}(\text{GL}(2k + 1, \mathbb{Z}); \mathbb{R})$$

$\tilde{\rho}_\infty$ on H^* yields many stable cohomology classes of $\mathcal{H}_{g,1}^{\text{top}}$

$$H_c^*(\hat{\mathfrak{h}}_{g,1}^+)^{\text{Sp}} \stackrel{\text{Kontsevich}}{\cong} \Lambda \left[\bigoplus_{n \geq 2} H_*(\text{Out } F_n; \mathbb{Q}) \right] \Rightarrow$$

Characteristic classes of **homological** surface bundles (1)

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$$H_c^*(\hat{\mathfrak{h}}_{g,1}^+)^{\text{Sp}} \stackrel{\text{Kontsevich}}{\cong} \Lambda \left[\bigoplus_{n \geq 2} H_*(\text{Out } F_n; \mathbb{Q}) \right] \Rightarrow$$

Theorem (Sakasai-Suzuki-M.)

$$\tilde{\rho}_\infty^* : \Lambda \left[\bigoplus_{n \geq 2} H_*(\text{Out } F_n; \mathbb{Q}) \right] \otimes H^*(\text{Sp}(2\infty, \mathbb{Q})) \rightarrow H^*(\mathcal{H}_{g,1}^{\text{top}}; \mathbb{Q})$$

Corollary

The **MMM-classes** are defined already in $H^*(\mathcal{H}_{g,1}^{\text{top}}, \mathbb{Q})$

Comparison with the case of the mapping class group:

The image of the homomorphism

$$\rho_{\infty}^* : \Lambda \left[\bigoplus_{n \geq 2} H_*(\text{Out } F_n; \mathbb{Q}) \right] \otimes H^*(\text{Sp}(2\infty, \mathbb{Z}); \mathbb{Q}) \\ \rightarrow H^*(\mathcal{M}_{g,1}; \mathbb{Q})$$

consists of **stable** classes $\xrightarrow{\text{Madsen-Weiss}}$

$\text{Im } \rho_{\infty}^* = \mathcal{R}^*(\mathcal{M}_{g,1}) = \langle \text{MMM - classes} \rangle$ (tautological algebra)

$\Rightarrow \rho_{\infty}^*$ has a **big** kernel

Comparison with higher dimensional cases:

Theorem (Berglund-Madsen)

For any $d : \text{odd} \geq 3$

$$\Lambda \left[\bigoplus_{n \geq 2} H_*(\text{Out } F_n; \mathbb{Q}) \right]^{(d)} \otimes H^*(\text{Sp}(2\infty, \mathbb{Z}); \mathbb{Q})$$

isomorphism
 \cong

$$\lim_{g \rightarrow \infty} H^*(\text{Baut}_{\partial}(\#_g(S^d \times S^d) \setminus \text{Int } D^{2d}); \mathbb{Q})$$

$$\text{degree}(x) = 2nd - 2 - k \quad (x \in H_k(\text{Out } F_n; \mathbb{Q}))$$

Definition (most important characteristic classes)

$$\tilde{\mathbf{t}}_{2k+1} = \tilde{\rho}_\infty^*(\mu_k) \in H^2(\overline{\mathcal{H}}_{g,1}; \mathbb{Q}), H^2(\mathcal{H}_{g,1}^{\text{top}}; \mathbb{Q}) \quad (k = 1, 2, \dots)$$

most important classes coming from $H^2(S^{2k+1}H_{\mathbb{Q}})^{\text{Sp}} \cong \mathbb{Q}$

candidates for $\chi(\mathcal{H}_{g,1}^{\text{smooth}}) \in H^2(\overline{\mathcal{H}}_{g,1}; \Theta^3)$

group version of $\mathbf{t}_{2k+1} \in H_c^2(\hat{\mathfrak{h}}_{\infty,1})$ defined earlier

geometrical meaning of the classes $\tilde{t}_{2k+1} \in H^2(\mathcal{H}_{g,1}^{\text{top}}; \mathbb{Q})$:

Intersection numbers of higher and higher **Massey** products
(using works of Kitano, Garoufalidis-Levine)

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Conjecture

In the central extension

$$0 \rightarrow \Theta^3 \rightarrow \mathcal{H}_{g,1}^{\text{smooth}} \rightarrow \overline{\mathcal{H}}_{g,1} \rightarrow 1$$

Θ^3 “**transgresses**” to the classes $\tilde{\mathbf{t}}_{2k+1} \in H^2(\overline{\mathcal{H}}_{g,1}; \mathbb{Q}) \Rightarrow$

$$\begin{aligned} \tilde{\mathbf{t}}_{2k+1} &\neq 0 \in H^2(\overline{\mathcal{H}}_{g,1}; \mathbb{Q}), H^2(\mathcal{H}_{g,1}^{\text{top}}; \mathbb{Q}) \text{ and} \\ \tilde{\mathbf{t}}_{2k+1} &= 0 \in H^2(\mathcal{H}_{g,1}^{\text{smooth}}; \mathbb{Q}) \end{aligned}$$

$\rho_{\infty}^*(3e_1 - c_1) = 0 \in H^2(\mathcal{M}_{g,1}; \mathbb{Q}) \Rightarrow$ secondary invariant:

Casson invariant λ for homology 3-spheres

$\rho_\infty^*(3e_1 - c_1) = 0 \in H^2(\mathcal{M}_{g,1}; \mathbb{Q}) \Rightarrow$ secondary invariant:

Casson invariant λ for homology 3-spheres

If Conjecture is true \Rightarrow obtain homomorphisms

$$\nu_k : \Theta^3 \rightarrow \mathbb{Q} \quad (k = 1, 2, \dots)$$

homology cobordism invariants